In re application of

:

Kazuo SHIMIZU et al.

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Serial No. 10/670,499

Attn: APPLICATION BRANCH

Filed September 26, 2003

Attorney Docket No. 2003-1274A

POLISHING APPARATUS

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 listed below is enclosed, except a copy is not provided for each U.S. patent and/or U.S. patent publication reference.

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or** 

before the mailing date of the first Office Action on the merits,

and thus no certification and/or fee is required.

1b. [] This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) [] the certification of paragraph 2 below is provided, or
- (2) [] the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.
- 1c. [] This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 2. It is hereby certified
  - a. [] that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
  - b. [] that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.
- 3. [] Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
- 4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
  - a. [] a full or partial English language translation submitted herewith,

- b. [] a foreign patent office search report (in the English language) submitted herewith,
- c. [] the concise explanation contained in the specification of the present application at page,
- d. [] the concise explanation set forth in the attached English language abstract,
- e. [] the concise explanation set forth below or on a separate sheet attached to the reference:
- 5. [] A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Kazuo SHIMIZU et al.

Nils E. Pedersen

Registration No. 33,145

Attorney for Applicants

NEP/krg Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 November 5, 2003

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

Sheet 1 of 2		<del>-</del>							
FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S)				ATTY DOCKET NO. SERIAL 2003-1274A 10/670		AL NO. 570,499	MAID		
				APPLICANT Kazuo SHIMIZU et al.			MOV 0 5 2003		
(Use several sheets if necessary)  Date Submitted to PTO: November 5, 2003				FILING DATE September 26, 2003		GROUP	THADEMARK OFFI		
				S. PATENT DOCUMENTS					
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	AA	5,910,846	6/1999	Sandhu					
	АВ	6,108,092	8/2000	Sandhu					
	AC	5,672,091	9/1997	Takahashi et al.					
	AD	5,838,447	11/1998	Hiyama et al.					
	AE	5,893,796	4/1999	Birang et al.					
	AF	5,899,792	5/1999	Yagi					
	AG	6,280,289	8/2001	Wiswesser et al.					
	АН	6,524,165	2/2003	Wiswesser et al.					
	AI	6,296,548	10/2001	Wiswesser et al.					
	AJ	6,334,807	1/2002	Lebel et al.					
	AK	6,399,501	6/2002	Birang et al.					
	AL	6,494,766	12/2002	Wiswesser et al.					
	AM	6,503,767	1/2003	Korovin					
	AN	6,506,097	1/2003	Adams et al.					
			FORE	IGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO		
	AO								
	AP								
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)									
	AQ								
EXAMINER	L			DATE CONSIDERED					

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.

, Sheet 2 of 2								DA!		
FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S)				ATTY DOCKET NO. 2003-1274A	SERIAL NO. 10/670,499		MOV 0 5	200 ACO 2		
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U.S. PATENT DOCUMENTS										
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DA			
	АА	6,102,775	8/2000	Ushio et al.						
	AB	6,159,073	12/2000	Wiswesser et al.						
	AC	6,476,921	11/2002	Saka et al.						
	AD									
	AE									
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	AI	-								
			FOR	EIGN PATENT DOCUMENTS			T			
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	SUBCLASS TRANSLATION YES NO			
	AJ	02/103779	12/2002	wo						
	AK	02/103777	12/2002	wo						
	AL	1066925A2	1/2001	EP						
	AM									
	AN									
		OTHER	R DOCUMENT(S)	Including Author, Title, Date, Pertine	ent Pages, Etc.)					
	AO									
	АР									
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